

IN THE  
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Daniel T. Thompson et al.

Confirmation No.:

Application No.:

Examiner: Unassigned

Filing Date: Herewith

Group Art Unit:

Title: COMPUTER SERVER HOT PLUG FAN TRAY ASSEMBLY AND METHOD OF FAN  
REMOVAL

Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either a:  
☐ Statement under 37 CFR 1.97(e), or  
☐ a \$180.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97 (d) together with a:  
☐ Statement under 37 CFR 1.97(e)(1) or (2), and  
☐ a \$180.00 fee set forth in 37 CFR 1.17(p).  
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

☒ Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

☒ A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individuals(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EV 410033945 us**

Date of Deposit **11/19/2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By

Typed Name: **Tait R. Swanson**

Respectfully submitted,

**Daniel T. Thompson et al.**

By

**Tait R. Swanson**

Attorney/Agent for Applicant(s)  
Reg. No. **48,226**

Date: **11/19/2003**

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200302326-2

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Daniel T. Thompson et al.

FILING DATE

Herewith

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	1A	4,767,262	08-1988	Simon, Peter	
	1B	6,031,719	02-2000	Schmitt et al.	
	1C	6,071,082	06-2000	Lecinski et al.	
	1D	5,788,467	08-1998	Zenitani et al.	
	1E	6,392,872	05-2002	Doustou et al.	
	1F	6,288,897	09-2001	Fritschle et al.	
	1G	6,388,880	05-2002	El-Ghobashy et al.	
	1H	6,168,396	01-2001	Homola, Matthew	
	1I	6,504,715	01-2003	Ota et al.	
	1J	6,556,437	04-2003	El-Ghobashy et al.	
	1K	6,392,893	05-2002	Carney et al.	

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	1L	JP2001177281	06-2001	Hamagishi et al.		
	1M	JP2000340975	12-2000	Asahina		
	1N	JP411346078	12-1999	Nakajima		
	1O	JP409199881	07-1997	Mizuno et al.		
	1P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	1Q	
	1R	
	1S	

EXAMINER

DATE CONSIDERED

FORM PTO-1449

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

(Use several sheets if necessary)

ATTY. DOCKET NO.

200302326-2

APPLICATION NO.

CONFIRMATION NO.

APPLICANT

Dani I T. Thompson et al.

FILING DATE

H rewith

GROUP

## REFERENCE DESIGNATION

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
	2A	6,310,770	10-2001	Negishi, Masayuki	
	2B	6,317,320	11-2001	Cosley et al.	
	2C	4,356,531	10-1982	Marino et al.	
	2D	6,236,564	05-2001	Fan, Cheng-Yuan	
	2E	6,031,717	02-2000	Baddour et al.	
	2F	6,414,845	07-2002	Bonet, Sandra E.	
	2G	6,115,250	09-2000	Schmitt, Ty	
	2H	5,793,608	08-1998	Winick et al.	
	2I				
	2J				
	2K				

## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
	2L					
	2M					
	2N					
	2O					
	2P					

## OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

	2Q	
	2R	
	2S	

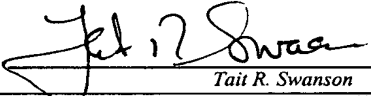
EXAMINER

DATE CONSIDERED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	§	
Daniel T. Thompson et al.	§	Group Art Unit: Unassigned
	§	
Parent Application Serial No.: 10/038,799	§	
Parent Application Filed: December 31, 2001	§	
	§	Examiner: Unassigned
Serial No.: Unassigned	§	
Filed: Herewith	§	
	§	
For: COMPUTER SERVER HOT PLUG FAN	§	Atty. Docket: 200302326-2
TRAY ASSEMBLY AND METHOD OF	§	NUHP:0101--1/FLE/SWA
FAN REMOVAL	§	

Mail Stop Patent Application  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

EXPRESS MAIL MAILING LABEL	
NUMBER:	EV 410033945 US
DATE OF DEPOSIT:	November 19, 2003
<i>Pursuant to 37 C.F.R. § 1.10, I hereby certify that I am personally depositing this paper or fee with the U.S. Postal Service, "Express Mail Post Office to Addressee" service on the date indicated above in a sealed envelope (a) having the above-numbered Express Mail label and sufficient postage affixed, and (b) addressed to the Commissioner for Patents, Alexandria, VA 22313.</i>	
November 19, 2003 Date	 Tait R. Swanson

Sir:

**ADDENUM TO INFORMATION DISCLOSURE STATEMENT**  
**PURSUANT TO 37 C.F.R. §§ 1.97(b)(1) AND 1.98**

In compliance with the duty of disclosure under 37 C.F.R. § 1.56(a), Applicants respectfully request that this Information Disclosure Statement be entered and that the listed references be considered by the Examiner and made of record.


In accordance with 37 C.F.R. § 1.97, this Information Disclosure Statement is not to be construed as a representation that a search has been made, as an admission that the information cited herein is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b), or as a representation that no other possibly material information, as defined in 37 C.F.R. § 1.56(b), exists.

Furthermore, the references listed on the attached Form 1449 are not to be construed as an admission that these references qualify as prior art as to the above-referenced application or any related application. Rather, these references are being presented for the Examiner's consideration without prejudice to Applicants' right to demonstrate that any of these references do not qualify as prior art should the Examiner choose to apply any of these references. In fact, these references are presented based on the references of record in the parent application.

The following information is listed on the attached Form 1449 in accordance with 37 C.F.R. §1.98. Any explanation of non-English language documents contained in this Information Disclosure Statement is believed to constitute a concise explanation of the relevance of the listed reference as it is presently understood by the individual designated in § 1.56(c) most knowledgeable about the content of the listed reference, in accordance with 37 C.F.R. § 1.98(a)(3). Regarding the four Japanese references cited in the attached form 1449, Applicants believe these references to relate generally to electronic devices and cooling fans.

Respectfully submitted,

Date: November 19, 2003

  
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